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<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top; padding: 5px;"> (21) International Application Number: PCT/GB90/00997 (22) International Filing Date: 28 June 1990 (28.06.90) (30) Priority data: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div>8915875.2 435,138</div> <div>11 July 1989 (11.07.89) 13 November 1989 (13.11.89)</div> <div>GB US</div> </div> (71) Applicant: INTELLIGENCE QUOTIENT INTERNATIONAL LIMITED [GB/GB]; 14 Brook Street, Tavistock, Devon PL19 0HD (GB). (72) Inventor: MALCOLM, Peter, Bryan ; Higher Long Park, Lewdown, Devon EX20 4PU (US). (74) Agents: BLATCHFORD, William, Michael et al.; Withers & Rogers, 4 Dyer's Buildings, Holborn, London EC1N 2JT (GB). </td> <td style="width: 50%; vertical-align: top; padding: 5px;"> (81) Designated States: AT (European patent), AU, BE (European patent), BR, CA, CH (European patent), DE (European patent)*, DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), HU, IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent), SU. Published <i>Without international search report and to be republished upon receipt of that report.</i> </td> </tr> </table>			(21) International Application Number: PCT/GB90/00997 (22) International Filing Date: 28 June 1990 (28.06.90) (30) Priority data: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div>8915875.2 435,138</div> <div>11 July 1989 (11.07.89) 13 November 1989 (13.11.89)</div> <div>GB US</div> </div> (71) Applicant: INTELLIGENCE QUOTIENT INTERNATIONAL LIMITED [GB/GB]; 14 Brook Street, Tavistock, Devon PL19 0HD (GB). (72) Inventor: MALCOLM, Peter, Bryan ; Higher Long Park, Lewdown, Devon EX20 4PU (US). (74) Agents: BLATCHFORD, William, Michael et al.; Withers & Rogers, 4 Dyer's Buildings, Holborn, London EC1N 2JT (GB).	(81) Designated States: AT (European patent), AU, BE (European patent), BR, CA, CH (European patent), DE (European patent)*, DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), HU, IT (European patent), JP, KR, LU (European patent), NL (European patent), NO, SE (European patent), SU. Published <i>Without international search report and to be republished upon receipt of that report.</i>													
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(54) Title: A METHOD OF OPERATING A DATA PROCESSING SYSTEM																	
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DW1	DW2	DW3	DW4	DW5													
L	D	L	D	L	D	L	D	L	D								
(57) Abstract <p>A method of operating a data processing system, in particular a micro-computer, comprises a backup process in which a copy of every change made to a storage medium is recorded as the change occurs. Write operations for writing data to the storage medium are each preceded by a backup write operation to backup storage means, successive backup write operations being controlled so as to be stored as a sequential list in the backups storage means in the form of location blocks and data blocks to avoid the overwriting occurring in the storage medium. These backup write operations are executed at the level of the basic input/output system (BIOS) of the microcomputer in such a manner that normal use of the computer is unaffected. The method also includes a restore process in which bad sectors of a destination storage device are avoided by translating the file allocation table (FAT) and directories of the original data.</p>																	

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A METHOD OF OPERATING A DATA PROCESSING SYSTEM

This invention relates to a method of operating a data processing system, and in particular to a method of providing copies of data stored in storage devices to guard against the possibility of the storage device becoming faulty or the data becoming corrupted, lost or, more recently, "infected by a computer virus". The invention is especially concerned with the provision of backups for personal computers (P.C.s).

With the increasing capacity of fixed storage media such as hard disk drives (now typically having storage capacities of upwards of 40 megabytes), the time and effort involved in making security copies of a disk is increasing.

At present, there are two options available to the average P.C. user. Commercial software exists to speed up the process of copying data onto a series of floppy disks. Whilst being an inexpensive solution, the method is tedious and time consuming. A 40 megabyte hard disk would at best require over 30 floppy disks to be fully copied, with the user required to change the disks throughout the backup.

The most effective option, however, is to copy the data on to a removable tape cartridge. Typically only one cartridge would be necessary, and for a 40 megabyte drive, the process would typically be completed in around fifteen minutes.

These methods have two drawbacks in addition to those already mentioned. Firstly, in each case, the user must make a conscious decision to perform the backup. The P.C. must be instructed to run the backup program, and the user must then wait for completion before a copy is available. Secondly, no existing backup method gives total protection against loss of data since the copied data exists only as an

image of the storage medium at the time the copy was made, and subsequently stored data cannot be protected.

It is an object of this invention to provide a means of
5 backing up stored data which offers the possibility of overcoming such disadvantages.

The present invention provides a backup system in which a copy of every change made to a storage medium is recorded as
10 the change occurs. This backup recording can be stored in a variety of storage means, for example a tape drive, optical disk, or in another area of the basic storage medium.

15 The backup process may be controlled by software which causes the data in successive write operations to be copied to the backup storage means as a sequential list of write operations in such a manner that normal use of the computer, in particular, use of the application software, is
20 substantially unaffected.

According to one aspect of the invention, there is provided a method of operating a data processing system including a random access memory, a central processing unit and a non-
25 volatile storage device, in which the central processing unit, operating according to instructions stored in the memory, is caused to write data periodically to basic storage means forming at least part of the storage device, and in which, for a plurality of such write operations, the
30 central processing unit is caused by the said instructions to execute a plurality of corresponding backup write operations to write the same data to backup storage means which may comprise a different part of the storage device or another non-volatile storage device. The backup write
35 operations are controlled such that the data for successive backup write operations are stored at different locations in the backup storage means whereby data which is overwritten

in the basic storage means is retained in the backup storage means. This backup process thus occurs in real time. Since individual changes to the basic storage means take only fractional amounts of time, and since the backup copy may
5 be made virtually simultaneously, there need not be any substantially noticeable change in the operation of the data processing system or its performance. The user may operate the system normally, and a backup copy of changes to the basic storage means can be made automatically, without any
10 user intervention.

Preferably, the instructions referred to above, specifically the instructions for causing the backup write operations, are programmed into the system so as to form part of or an
15 extension of the basic input/output system (i.e. at the basic input/output level). In the case of IBM - compatible machines, to which the invention is particularly applicable, the software is stored as an additional routine which is accessed by the system whenever a write operation is
20 initiated. Thus, before each conventional write operation using the Interrupt 13 hex ("INT13h") routine, the machine is caused to execute an additional write operation for writing the data to the backup storage means additionally to a similar write to the basic storage means, when used in an
25 IBM compatible system. By adding additional program code to INT13h, an instruction to write data using INT13h also results in the data being copied, together with the location in the basic storage means where it is to be written, to the backup storage means before continuing with the original
30 routine. The copied information is preferably stored as a sequential list of write operations. This means that the writing of a piece of data to the same location of the basic storage means more than once in succession would result in a list of separate commands being copied to the backup
35 storage device. This backup method can be performed immediately, without affecting any application software being used on the system. In effect, it produces a complete

audit trail of every change made to the device in such a manner that information is not lost.

The basic storage means may form part of or constitute any non-volatile storage device, but in the case of a personal computer is normally constituted by a so-called hard disk. The backup storage means may be part of or be constituted by a tape drive, another disk drive, an optical disc, or another part of a disk drive containing the basic storage means.

If the software for performing the method of the invention is stored at the basic input/output level, it can be made transparent to the user so as not to affect application software.

To restore information to destination storage means, which may be constituted by the same storage device as the basic storage means following a fault, or an alternative storage device, the sequential list of write operations stored in the backup storage means is simply replayed, and the original data copied to the destination storage means at the locations specified. Advantageously, this process can be stopped at any time so as to restore the data to the state in which it existed at any selected time. In this way, a selected number of most recent write operations can be ignored.

In the case of hard disk storage media, every hard disk has bad sectors, details of which are recorded in a File Allocation Table (FAT) when the disk is formatted on the system. If the disk fails because, for instance, a sector or sectors become damaged, then not only will data be lost but the disk will need to be reformatted, thereby creating a new FAT. As part of the preferred method of operation in accordance with this invention, the FAT for the basic storage means is stored in the backup storage means,

generally as the first piece of information, so that for restoring, the system is able to modify the addresses of data stored in the backup storage means when restoring to a new disk or reformatted disk.

5

Thus, according to another aspect of this invention, there is provided a method of operating a data processing device including providing backup storage means for storing as a sequential list write operations written to basic storage means, each write operation being stored in the basic storage means as a location element and a data element, the location element containing information relating to the location of the data when stored in the basic storage means. Restoring of the data from the backup storage means to destination storage means includes determining the location of faulty parts of the destination storage means and establishing a translation table for allocating to the data elements new location elements in place of the stored location elements for restoring the data elements to correctly functioning parts of the destination storage means. The method may also include alteration of a file allocation table and directories in the original data, specifically the root directory followed by each sub-directory, so that any address reference held by the original operating system is altered to reflect a change in its location whereby the operating system and hence the application software can then make use of the data as stored on the destination storage means.

30 The invention will now be described by way of example with reference to the drawings:-

Figure 1 is a block diagram of a personal computer and a cartridge tape drive,

35

Figures 2A and 2B are diagrams illustrating a system memory map at the basic input/output level of a conventional

personal computer and a computer modified to operate in accordance with the method of the invention respectively,

5 Figure 3 is a diagram illustrating the organisation of the data when stored in a backup storage medium,

Figure 4 is a flow chart of a method for recording data in a backup operation, and

10

Figure 5 is a flow chart illustrating a restoring operation.

Referring to Figure 1 of the drawings, a personal computer 10 typically comprises a central processing unit 12, a random access memory 14, and a non-volatile storage device 15 in the form of a hard disk drive 16. In this example, for the purpose of providing backup storage-means, a tape unit 18 is coupled to the computer 10.

20 The invention is particularly applicable to IBM compatible personal computers, i.e. the majority of personal computers using processor chips type numbers 8086, 8088, 80286, 80C286, 80386, 80386SX and 80486 manufactured by Intel. In such computers, whenever a program requires the storage of 25 data in the hard disk drive, it places data in a memory buffer and calls a standard routine which writes data to the disk. This routine, known as Interrupt 13 hex (INT13h) is permanently programmed into the hardware of the computer's disk drive controller and forms part of the basic 30 input/output system (BIOS) built into the computer. This routine is shown in Figure 2A as INT13h forming part of the BIOS program code in a system memory map, the INT13h entry point being shown by an arrow. To carry out a preferred method in accordance with the invention, additional program 35 code is added at the basic input/output level as shown in Figure 2B. In practice, this can be loaded into the computer as a device driver using the CONFIG.SYS file.

The added software has the effect of an instruction to write data being interpreted not only as an instruction to write data to the disk drive 16 but also to the tape drive 18.

- 5 Preferably, writing to the tape drive takes place first. Thus, the write instruction involves a new entry point as shown arrowed in Figure 2B, whereupon the new program code causes information in the form of a location block followed by a data block to be written to the tape drive, the
- 10 location block containing the track number of the disk drive to which the data would be written by the INT13h routine, the sector number, the disk drive head, the drive identification, and the length of the data in terms of the number of sectors. In effect, successive write operations
- 15 are stored as a sequential list of operations, each disk write operation DW1, DW2, ... being identified by a block pair comprising the location block L and data block D as shown in Figure 3, the backup storage means M (the tape drive) having a start S and end E, as shown. Once the
- 20 backup write operation has been performed, the normal write operation to the disk drive is performed using the conventional BIOS program code, as if nothing different had happened.
- 25 The relevant sequence of operations is shown in the flow chart of Figure 4. On receipt of an INT13h call, the program first checks, in step 20 whether the call is a write call. If it is, the contents of the microprocessor registers are preserved in memory by a PUSH instruction to
- 30 stack (step 22). The register location details are then written to the backup storage means, the tape drive, as the location block in step 24, followed by the data copied from the memory buffer as the data block (step 26). The register contents are then returned to the microprocessor registers
- 35 from the stack by a POP instruction 28, whereupon the conventional write operation to the basic storage means the computer disk, can take place beginning at the original

INT13h entry point as if the backup write operation just described had never been performed.

As a result of this sequence of events, after a period of time and several write operations, the tape drive 18 contains a complete record of all data information stored on the disk drive, including information which has been overwritten by write operations being performed more than once to the same locations.

10

Should an error on the disk occur, the backup can be replayed, reproducing all of the disk changes at high speed and restoring the data (generally to the reformatted disk drive).

15

All hard disks contain a small proportion of unusable sectors. These are identified in the operating system by a program which, in IBM - compatible machines is referred to as the DOS FORMAT program, and are marked as bad in the file allocation table (FAT) stored on every disk. DOS checks the information in the FAT to avoid using these bad areas. It is highly unlikely that two hard disks (even of exactly the same type) would have the same pattern of bad sectors. Any given hard disk will develop additional bad sectors over its life, which means that if the disk is then reformatted, the additional bad sectors will be marked in the FAT and the disk can continue to be used. From the above it will be clear that the layout of bad sectors on a disk to which the stored backup data is required to be restored will generally be different from the layout of the disk which was operative when the backup copy was taken.

20

25

30

To overcome the difficulty of restoring data to a disk with a different layout of bad sectors, the location blocks referred to above are altered. In particular, the location blocks are altered so that the data can be written to another location on the disk without following data from the

35

backup tape overwriting it. In addition, the file allocation table and directories in the personal computer are changed so that data is read from good sectors of the disk to which the backup data has been restored. Of course, this means that data can be restored to a destination storage means having a different physical layout from the original. The destination storage means may, for example, be a disk which may be the same disk as that of the basic storage means or a different disk. The capacity of the drive can be similar, but the combination of sectors, tracks, and heads could be different.

Referring to Figure 5, the recovery procedure begins with a read step 30 in which the header of the backup storage means is read to establish the size of the storage means and its FAT. At this point the track/sector/head information in each location block is connected into a single logical sector number, where 0 is the first sector on the original disk (track 0, head 0, sector 1) and X is the last sector number (last track, last head, last sector).

Next, a FAT translation table is established (step 32) in memory and the memory is cleared ready for new data. The translation table is initially empty. The first location block is read from the backup storage means (step 34) and a check is made for any bad part of the corresponding area in the destination storage means (step 36). If the area is bad, an alternative sector of the destination storage means is assigned in step 38 and the change in location is noted in the translation table. In other words, the original logical sector number of the location block is converted to a number representing a new track/sector/head coordinate based on the parameters of the destination storage means so that a one-to-one correlation occurs between the logical layouts of the original and destination storage means. Any further attempts to write to the same bad sector are instead interpreted as writes to this newly assigned sector.

Having assigned an alternative sector, the relevant data block is read from the backup storage means (step 40) and written to the destination storage means (step 42).
5 Naturally, if the check made in step 36 indicates that the area of the destination storage means corresponding to the sector number in the original location block has no bad parts, no alternative sector need be assigned and the instructions of steps 40 and 42 are executed immediately
10 after the checking of step 30.

If the software later attempts to write data over a sector which is itself an area of re-assigned data then the software re-assigns that area also. Thus, as the write
15 operations spoilt by the backup storage means are restored to the destination storage means, the table is progressively filled with translated location data.

If there are further location and data blocks (determined in
20 step 44), the program loops back to step 34 and the above-described steps 34 to 44 are repeated for each location and data block pair until all of the required data in the backup storage means has been written to the destination storage means.

25 Provided that there are as many free sectors on the destination storage means as were used on the original disk (a pre-requisite of the restore operation of any backup system), no data will be overwritten or lost.

30 Whilst the data now all exists on the destination storage means, areas have been moved and the operating system of the computer (DOS) is no longer configured to operate with the data layout. To deal with this, the backup software scans
35 the FAT, then the root directory, followed by each sub-directory, changing the address (cluster) references (which are in known locations) of any area which have been re-

mapped in the translation table (step 46). Any such address reference held by DOS is therefore altered to reflect a change in its location, and DOS can once again understand the layout of the storage means and all data will be intact.

5

An example of part of the restore process will now be given. The reader is referred to the IBM DOS Technical Reference Manual for background material, if required. The disclosure of this Manual is included in this specification by reference.

10

Suppose the destination storage means, hereinafter referred to as the destination disk, has a fault in clusters 26 and 27 (001A and 001B hex) so that these clusters cannot be used. When an attempt is made at restoring to these clusters, the software determines that they are marked as bad and it is necessary to re-map the clusters to another free location, say clusters 192 and 193 (00c0 and 00c1 hex).

15

20 The internal translation table would read as follows:-

<u>Source</u>	<u>Destination</u>
26	192
27	193

25

The original FAT might appear as in the following table:-

Partial Hex Dump of Original FAT SectorTABLE 1

5	000	F8 FF FF FF 03 00 04 00 05 00 06 00 07 00 08 00
	010	09 00 0A 00 0B 00 0C 00 0D 00 0E 00 0F 00 10 00
	020	11 00 12 00 13 00 14 00 15 00 16 00 17 00 18 00
	030	19 00 <u>1A 00</u> FF FF 1C 00 1D 00 1E 00 1F 00 20 00
10	040	21 00 22 00 23 00 24 00 25 00 26 00 27 00 28 00
	050	29 00 2A 00 2B 00 2C 00 2D 00 2E 00 2F 00 30 00
	060	31 00 32 00 33 00 FF FF 35 00 36 00 37 00 38 00
	070	39 00 3A 00 3B 00 3C 00 3D 00 3E 00 3F 00 40 00
	080	41 00 42 00 43 00 44 00 45 00 46 00 FF FF 00 00
15	090	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0A0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0B0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0C0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0D0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
20	0E0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0F0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

Note that byte pair FF FF marks End of File.

25 In the translated FAT on the destination disk (shown below in Table 2), references to the re-mapped clusters are changed to their translated address, thus the reference to cluster 26 (shown underlined) must be changed to cluster 192 (00C0 hex).

30

Cluster 27 was marked as End of File in the original FAT and remains so in its translated location at cluster 193.

Note that cluster 26 and 27 are now marked bad with the byte pair F7 FF.

35

Partial Hex Dump of FAT After References ChangedTABLE 2

5	000	F8 FF FF FF 03 00 04 00 05 00 06 00 07 00 08 00
	010	09 00 0A 00 0B 00 0C 00 0D 00 0E 00 0F 00 10 00
	020	11 00 12 00 13 00 14 00 15 00 16 00 17 00 18 00
	030	19 00 <u>C0 00</u> F7 FF F7 FF 1D 00 1E 00 1F 00 20 00
10	040	21 00 22 00 23 00 24 00 25 00 26 00 27 00 28 00
	050	29 00 2A 00 2B 00 2C 00 2D 00 2E 00 2F 00 30 00
	060	31 00 32 00 33 00 FF FF 35 00 36 00 37 00 38 00
	070	39 00 3A 00 3B 00 3C 00 3D 00 3E 00 3F 00 40 00
	080	41 00 42 00 43 00 44 00 45 00 46 00 FF FF 00 00
15	090	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0A0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0B0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0C0	FF FF 1C 00 00 00 00 00 00 00 00 00 00 00 00 00
	0D0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
20	0E0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0F0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

It is now necessary to look at the Root Directory as shown in Table 3 below.

Partial Hex Dump of Original Root Directory SectorTABLE 3

5	000	46 49 4C 45 31 20 20 20 54 20 00 00 00 00 00 00	FILE1...TXT.
	010	00 00 00 00 00 00 00 60 71 0E <u>02 00</u> DB 62 00 00
	020	46 49 4C 45 31 20 20 20 54 20 00 00 00 00 00 00	FILE2...TXT.
	030	00 00 00 00 00 00 00 60 71 0E <u>1B 00</u> DB 62 00 00
10	040	46 49 4C 45 31 20 20 20 54 20 00 00 00 00 00 00	FILE3...TXT.
	050	00 00 00 00 00 00 00 60 71 0E <u>34 00</u> DB 62 00 00
	060	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	070	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	080	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
15	090	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0A0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0B0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0C0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0D0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
20	0E0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0F0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

The Starting Cluster references are shown underlined and are as follows:

25

FILE1.TXT Starting Cluster 0002 (hex) 2 (Decimal)
 FILE2.TXT Starting Cluster 001B (hex) 27 (Decimal)
 FILE3.TXT Starting Cluster 0034 (hex) 52 (Decimal)

30 The translation table shows cluster 27 is re-mapped to cluster 192 and, therefore, the starting cluster reference of FILE2.TXT is changed to 192 (00c0 hex), as shown in Table 4.

Partial Hex Dump of Root Directory Sector After References
Changed

5

TABLE 4

	000	46 49 4C 45 31 20 20 20 54 20 00 00 00 00 00 00	FILE1...TXT.....
	010	00 00 00 00 00 00 00 00 60 71 0E 02 00 DB 62 00 00
	020	46 49 4C 45 31 20 20 20 54 20 00 00 00 00 00 00	FILE2...TXT.....
10	030	00 00 00 00 00 00 00 00 60 71 0E <u>C0 00</u> DB 62 00 00
	040	46 49 4C 45 31 20 20 20 54 20 00 00 00 00 00 00	FILE3...TXT.....
	050	00 00 00 00 00 00 00 00 60 71 0E 34 00 EB 62 00 00
	060	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	070	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
15	080	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	090	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0A0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0B0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0C0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
20	0D0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0E0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
	0F0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00

Sub-directory references are treated in exactly the same way
as the root directory.

A preferred feature of the backup method described above is
the recording of the time of each write operation. By
recording the exact date and time of each and every disk
write onto the tape, data may be replayed up to any given
point in time. Replay can be suspended to enable the
copying of files and then resumed any number of times. It
is also possible in the preferred method in accordance with
the invention to calculate the file name currently being
updated so that it is a simple matter to reconstruct data to
any stage and all states of the disk and hence the files
contained within it are recorded. The suspension of replay

allows the user to recover information that may later have been deleted.

The software described above loads automatically when the user switches on the computer and makes a complete copy of all changes to the disk up to the point at which the machine is switched off. It thus provides a complete "audit trail" of every change made to the disk as it happens. The entire operation happens automatically without affecting normal operation of the computer. Data is not lost and the user has a complete backup which can be removed immediately.

In the preferred method, the software allows the user to select any combination of a plurality of physical or logical hard disk drives (or other storage devices) to be monitored. The system is thus particularly suitable for network systems.

The preferred method also has the following features:-

20

Tape Marks: The user can at any time place a 'mark' on the backup tape to signify some event. This mark can include a user defined text message, and assists in recovering data to a specified point. The replay option always stops and displays the comment when it encounters a tape mark.

Fail Safe Operation: In the event of corruption or power failure, data is preserved up to the moment before the failure occurred, the writing of an end of data mark to the tape is not required.

Turnkey Operation: The AUTOEXEC file can include commands to continue the current real time backup from the point it left off. The previous tape can continue to be used or a fresh one substituted. Even if both tape drive and PC were completely powered off, the backup software uses a high speed seeking algorithm to find the end of the recorded data

and continue from that point. If the tape drive power was not cut (even if the PC was re-booted) then no seeking is required and the backup continues immediately. The backup software knows how much tape has been used and how much
5 remains, even after a total power failure.

End of Tape and Alarm Warnings: The configuration contains a user-defined alarm setting. When this amount of data has been written, the backup software sounds a continuous two-
10 tone alarm to notify the user that the tape is running out. Operation of the currently running software package is not affected. This alarm also sounds in the event of tape failure for whatever reason. The user can adjust the configuration file to abandon real time backup in the event
15 of tape drive failure, or to set the hard disk to read-only to prevent further updates whilst the problem is rectified.

From the point of view of the user the principle of operation is as follows:-

20

- 1) A simple configuration file enables the user to select any combination of up to three physical or logical hard disk drives to be monitored.
- 25 2) A "snapshot" option of the backup software is then used to recall mirror images of the appropriate drive or drives.
- 30 3) The backup operation is then activated, automatically recording changes to the hard drive as they happen.
- 35 4) Should it become necessary to reconstruct the disk due to an error, or on request, the user copies the mirror image back to the drive and then replays the backup tape to reconstruct the disk up to the moment before the error, or requested point occurred.

CLAIMS

1. A method of operating a data processing system including
5 a random access memory, a central processing unit and a non-
volatile storage device, in which the central processing
unit, operating according to instructions stored in the
memory, is caused to write data periodically to basic
storage means forming at least part of the storage device,
10 and in which, for a plurality of such write operations the
central processing unit is caused by the instructions to
execute a plurality of corresponding backup write operations
to write the same data to backup storage means.
- 15 2. A method according to claim 1, wherein the backup write
operations comprise writing the said same data to another
non-volatile storage device.
3. A method according to claim 1 or claim 2, wherein the
20 backup write operations are controlled such that the data
for successive backup write operations are stored at
different locations in the backup storage means whereby data
which is overwritten in the basic storage means is retained
in the backup storage means.
- 25 4. A method according to any preceding claim, wherein the
instructions for causing the backup write operations are
stored and are executed at the basic input/output level of
the data processing system.
- 30 5. A method according to any preceding claim, wherein each
backup write operation is performed by means of a software
routine permanently existing in the basic input/output
system stored in the memory of a microprocessor-based
35 computer, the routine being associated with a routine for
executing the write operations for writing data to the basic
storage means.

6. A method according to any preceding claim, wherein information copied to the backup storage means is stored as a sequential list of write operations.
- 5 7. A method according to any preceding claim, wherein each write operation is stored in the backup storage means as a location element and a data element, the location element containing information relating to the location of the data when stored in the basic storage means.
- 10 8. A method according to claim 7, wherein each location element has associated with it information relating to the date and time of the corresponding write operation.
- 15 9. A method according to claim 7 or claim 8, including the restoring of data from the backup storage means to destination storage means, wherein the restoring step comprises determining the location of faulty parts of the destination storage means and establishing a translation
- 20 table for substituting new location elements in place of the stored location element so that data can be restored to correctly functioning parts of the destination storage means.
- 25 10. A method according to claim 9, further including alteration of a file allocation table and directories associated with the original data.
- 30 11. A method of operating a data processing device including providing backup storage means for storing as a sequential list write operations written to basic storage means, each write operation being stored in the backup storage means as a location element and a data element with the location element containing information relating to the location of
- 35 the data element when stored in the basic storage means, wherein restoring of data from the backup storage means to destination storage means includes determining the location

of faulty parts of the destination storage means and establishing a translation table for allocating to the data element new location elements in place of the stored location elements for restoring the data elements to correctly functioning parts of the destination storage means.

12. A method according to claim 11, including alteration of a file allocation table and directories in the original data.

13. A method according to claim 11 or claim 12, wherein the instructions of controlling the restoring of data are stored and executed at the basic input/output level of the data processing system.

14. A method according to any of claims 11 to 13, wherein the translation table is established at the basic input/output level of the data processing system.

15. A method of operating a data processing system comprising a random access memory, a central processing unit, and a non-volatile storage device, wherein the method includes providing a backup for data written to the non-volatile storage device the steps of performing, for each write operation in which data is written to basic storage means forming at least part of the storage device, a backup write operation in which the data is also written as a data block to backup storage means together with a location block containing information relating to the location of the data when stored in the basic storage means, and wherein the backup write operations for successive write operations to the basic storage means are stored in the backup storage means as a sequential list of location and data block pairs stored in different respective locations of the backup storage means.

16. A method according to claim 15, wherein the write operations to the basic storage means and the backup storage means are performed at the level of the basic input/output system (BIOS).

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17. A method according to claim 15 or claim 16, further including a method for restoring data from the backup storage means to destination storage means, wherein the restoring method includes establishing a translation table
10 in the memory, reading a location block from the backup storage means, assigning a new location if the location in the destination storage means represented by the said location block is faulty and registering the new location in the translation table in association with the former
15 location, reading the data block associated with the location block from the backup storage means and writing it to the destination storage means, repeating the reading, assigning, reading and writing steps until all the data required to be restored has been restored to the destination
20 storage means.

18. A method according to claim 17, wherein the data processing system has stored therein a file allocation table and a directory, and wherein the restoring method further
25 includes the steps of scanning the file allocation table and directory and altering address references therein of locations which are indicated by the translation table as having been changed.

30 19. A data processing system comprising a random access memory, a central processing unit, a non-volatile basic storage means, and non-volatile backup storage means, wherein the system is programmed to perform a backup write step corresponding to each write operation in which data is
35 written to the basic storage means, the backup write steps comprising the writing of the write operation to the backup storage means as a location block and a data block, the location block containing information relating to the

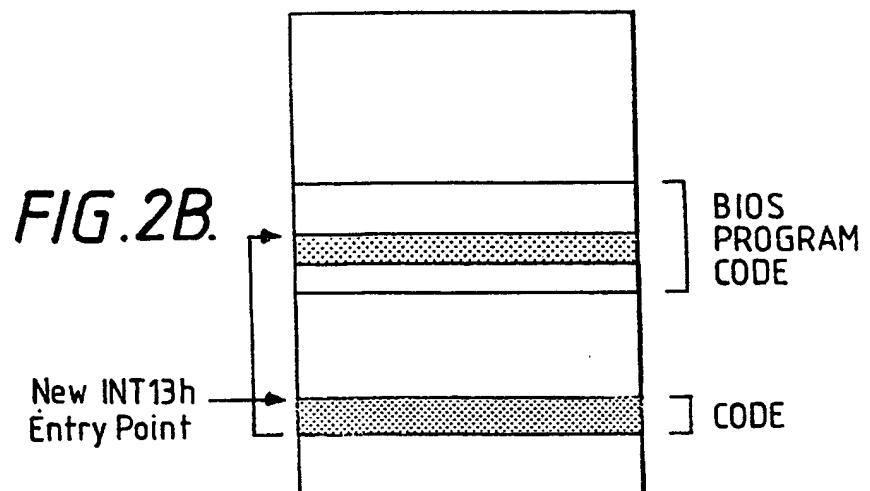
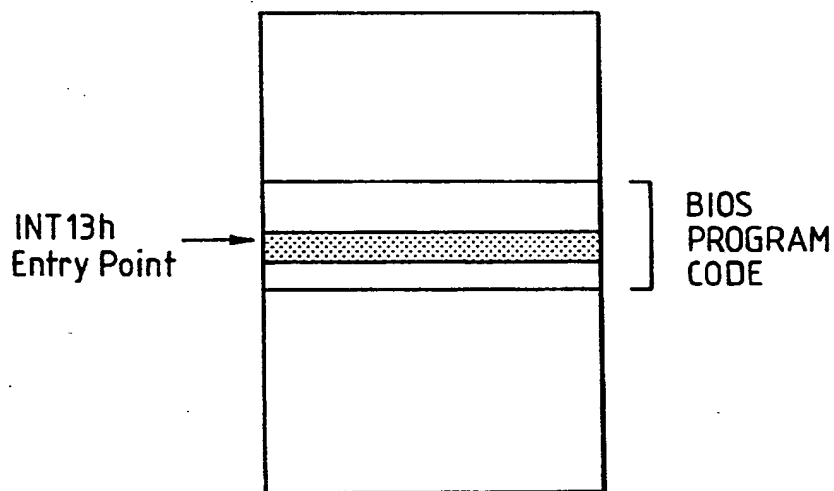
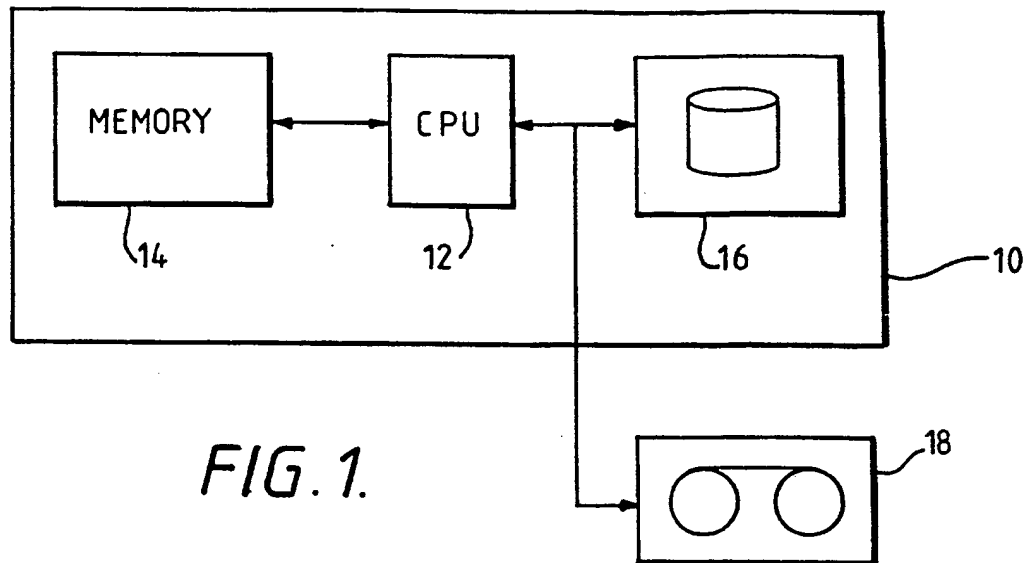
location of the data block in the basic storage means, and wherein the system is further programmed to write successive write operations to the backup storage means as a sequential list, each location and data block pair being stored in a
5 different respective location in the backup storage means.

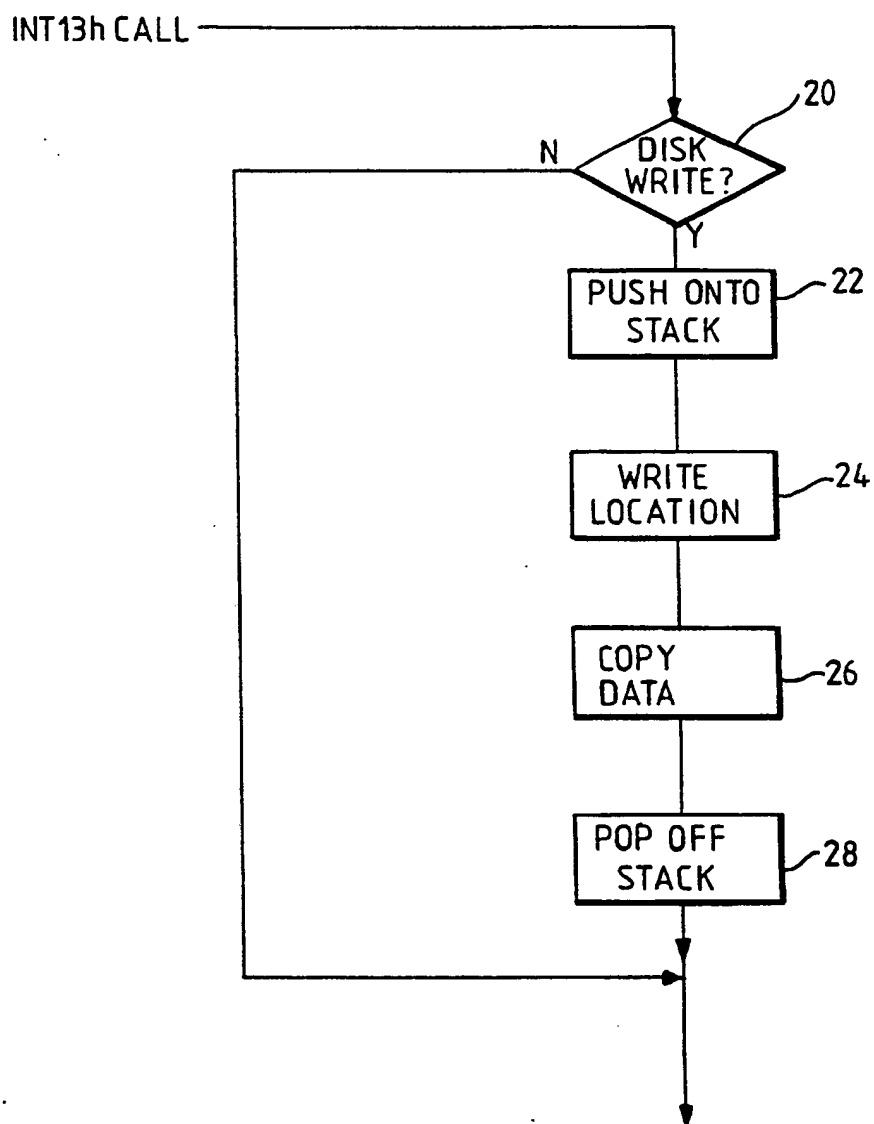
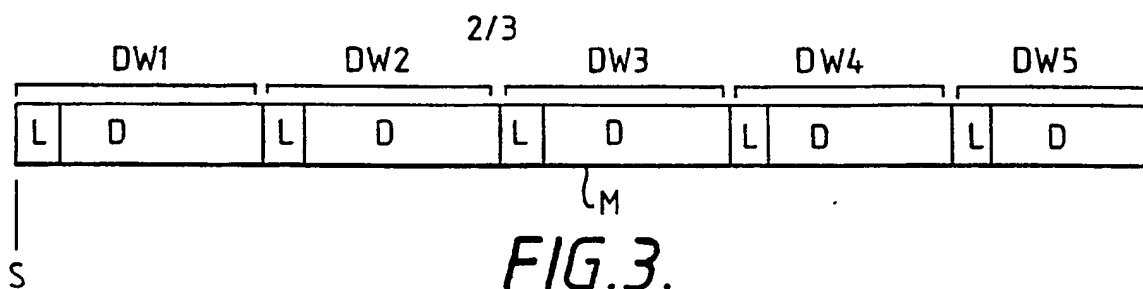
20. A system according to claim 19, wherein the memory has stored therein instructions for performing the backup write step, the instructions being stored at the level of the
10 basic input/output system (BIOS).

21. A system according to claim 19 or claim 20, further including destination storage means for storing data stored in the backup means, and wherein the system is programmed to
15 perform a restoring process which includes establishing a translation table in the memory, reading a location block from the backup storage means, assigning a new location if the location in the destination storage means represented by the said location block is faulty and registering the new
20 location in the translation table in association with the former location, reading the data block associated with the location block from the backup storage means and writing it to the destinations storage means, repeating the reading, assigning, reading and writing steps until all the data
25 required to be restored has been restored to the destination storage means.

22. A system according to claim 21, arranged to store a file allocation table and a directory, wherein the system is
30 further programmed to scan the file allocation table and directory, and to alter address references therein of locations which are indicated by the translation table as having been changed.

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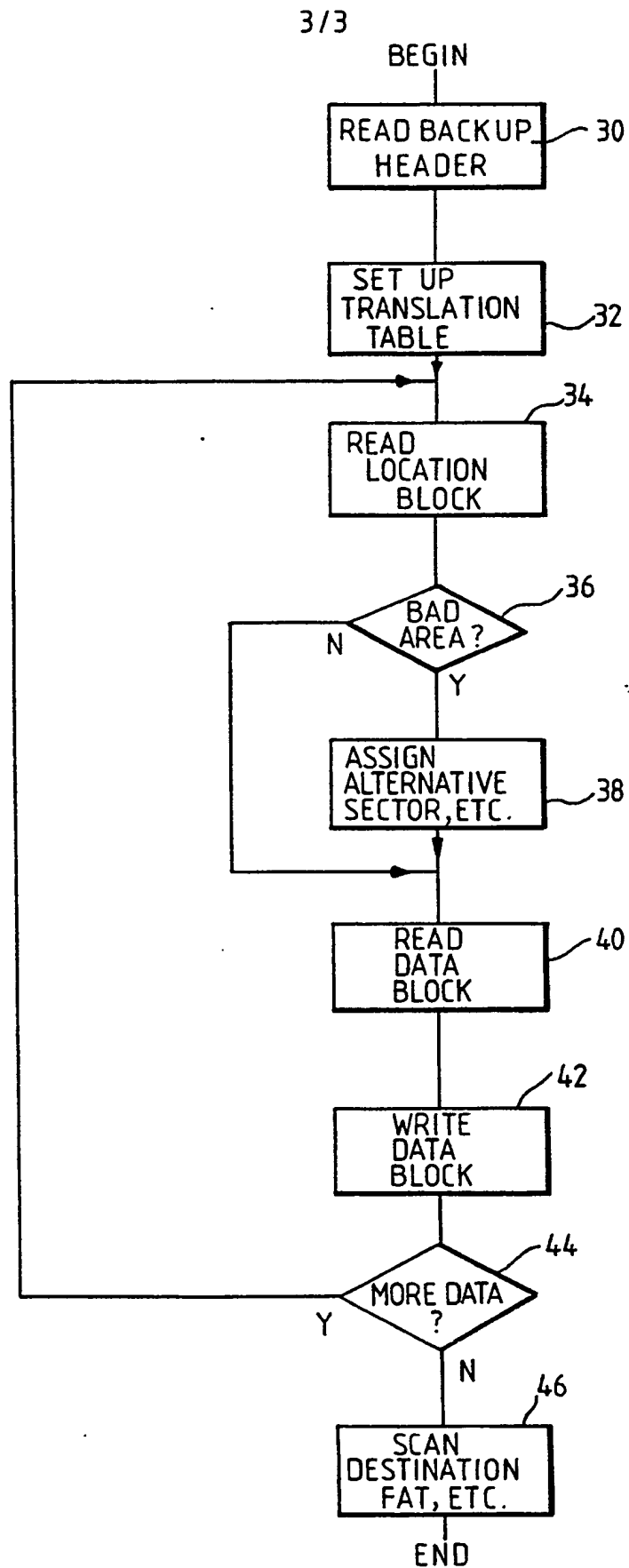


FIG. 5.

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